

Major Sanctioned Countries Questionnaire

As part of BNP Paribas' client due diligence, BNPP endeavors, among other things, to understand whether, and to what extent, its clients have activities with or in certain sensitive or major sanctioned countries.

Please provide the following information as requested below on behalf of your company and your company's group of entities as defined below. Additional information may be requested upon review.

BNPP obeys the laws of all jurisdictions in which it operates and does not further, support, or otherwise participate in unlawful boycotts. If you respond to any of the questions below in the affirmative, this does not necessarily mean that BNPP would reject you as a client or terminate BNPP's relationship with you.

Company Name:

Company Group Name:

Please complete and return the questionnaire.	
CUBA –	
<p>Does your company have</p> <ul style="list-style-type: none"> any offices, transactions, investments, activities or planned activities in Cuba, or any transactions, investments, activities or planned activities ("Dealings") with (or in) any individual or entity located, resident, or organized in Cuba or with (or in) any entity directly or indirectly owned or controlled by any entity located, resident, or organized in Cuba (in each case, including with intermediaries acting on behalf of or engaging in related Dealings with Cuba or Cuban entities)? <p>Does any member of your company's group of entities (any entity directly or indirectly controlled by, or under common control with your company ("Controlled Perimeter")</p> <ul style="list-style-type: none"> reside in or have offices, transactions, investments, activities or planned activities in Cuba, or have any Dealings with (or in) any individual or entity located, resident, or organized in Cuba or with (or in) any entity directly or indirectly owned or controlled by any entity located, resident, or organized in Cuba (in each case, including with intermediaries acting on behalf of or engaging in related Dealings with Cuba or Cuban entities)? <p>Is your company or any member of your company's Controlled Perimeter involved in the maintenance or expansion of oil and gas production/imports, of a nuclear program, of weapons capability, or in dual usage goods or in equipment that may be used in those sectors for Cuba?</p>	<p>If YES, please detail specific types of transactions, investments, activities or planned activities and % of total revenues and/or assets of your company accounted for by these transactions, investments, or activities.</p> <p>If YES, please detail specific types of transactions, investments, activities or planned activities and % of total revenues and/or assets of your group as a whole and the relevant entity individually accounted for by these transactions, investments, or activities.</p> <p>If YES, please detail specific types of transactions, investments, or activities and % of total revenues and/or assets that these transactions, investments or activities represent for your company or company group or the relevant entity.</p>

IRAN –	
<p>Does your company have</p> <ul style="list-style-type: none"> • any offices, transactions, investments, activities or planned activities in Iran, or • any transactions, investments, activities or planned activities (“Dealings”) with (or in) any individual or entity located, resident, or organized in Iran or with (or in) any entity directly or indirectly owned or controlled by any entity located, resident, or organized in Iran (in each case, including with intermediaries acting on behalf of or engaging in related Dealings with Iran or Iranian entities)? <p>Does any member of your company’s group of entities (any entity directly or indirectly controlled by, or under common control with your company (“Controlled Perimeter”)</p> <ul style="list-style-type: none"> • reside in or have offices, transactions, investments, activities or planned activities in Iran, or • have any Dealings with (or in) any individual or entity located, resident, or organized in Iran or with (or in) any entity directly or indirectly owned or controlled by any entity located, resident, or organized in Iran (in each case, including with intermediaries acting on behalf of or engaging in related Dealings with Iran or Iranian entities)? <p>Is your company or any member of your company’s Controlled Perimeter involved in the maintenance or expansion of oil and gas production/imports, of a nuclear program, of weapons capability, or in dual usage goods or in equipment that may be used in those sectors for Iran?</p>	<p>If YES, please detail specific types of transactions, investments, activities or planned activities and % of total revenues and/or assets of your company accounted for by these transactions, investments, or activities.</p> <p>If YES, please detail specific types of transactions, investments, activities or planned activities and % of total revenues and/or assets of your group as a whole and the relevant entity individually accounted for by these transactions, investments, or activities.</p> <p>If YES, please detail specific types of transactions, investments, or activities and % of total revenues and/or assets that these transactions, investments, or activities represent for your company or company group or the relevant entity.</p>

NORTH KOREA –

<p>Does your company have</p> <ul style="list-style-type: none"> • any offices, transactions, investments, activities or planned activities in North Korea, or • any Dealings with (or in) any individual or entity located, resident, or organized in North Korea or with (or in) any entity directly or indirectly owned or controlled by any entity located, resident, or organized in North Korea (in each case, including with intermediaries acting on behalf of or engaging in related Dealings with North Korea or North Korean entities? <p>Does any member of your company's group of entities (any entity directly or indirectly controlled by, or under common control with your company ("Controlled Perimeter")</p> <ul style="list-style-type: none"> • reside in or have offices, transactions, investments, activities or planned activities in North Korea, or • have any Dealings with (or in) any individual or entity located, resident, or organized in North Korea or with (or in) any entity directly or indirectly owned or controlled by any entity located, resident, or organized in North Korea (in each case, including with intermediaries acting on behalf of or engaging in related Dealings with North Korea or North Korean entities? <p>Is your company or any member of your company's Controlled Perimeter involved in the maintenance or expansion of oil and gas production/imports, of a nuclear program, of weapons capability, or in dual usage goods or in equipment that may be used in those sectors for North Korea?</p>	<p>If YES, please detail specific types of transactions, investments, activities or planned activities and % of total revenues and/or assets of your company accounted for by these transactions, investments, or activities.</p> <p>If YES, please detail specific types of transactions, investments, activities or planned activities and % of total revenues and/or assets of your group as a whole and the relevant entity individually accounted for by these transactions, investments, or activities.</p> <p>If YES, please detail specific types of transactions, investments, or activities and % of total revenues and/or assets that these transactions, investments or activities represent for your company or company group or the relevant entity.</p>
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SUDAN –	
<p>Does your company have</p> <ul style="list-style-type: none"> • any offices, transactions, investments, activities or planned activities in Sudan, or • any Dealings with (or in) any individual or entity located, resident, or organized in Sudan or with (or in) any entity directly or indirectly owned or controlled by any entity located, resident, or organized in Sudan (in each case, including with Intermediaries acting on behalf of or engaging in related Dealings with Sudan or Sudanese entities? <p>Does any member of your company's group of entities (any entity directly or indirectly controlled by, or under common control with your company ("Controlled Perimeter")</p> <ul style="list-style-type: none"> • reside in or have offices, transactions, investments, activities or planned activities in Sudan, or • have any Dealings with (or in) any individual or entity located, resident, or organized in Sudan or with (or in) any entity directly or indirectly owned or controlled by any entity located, resident, or organized in Sudan (in each case, including with intermediaries acting on behalf of or engaging in related Dealings with Sudan or Sudanese entities? <p>Is your company or any member of your company's Controlled Perimeter involved in the maintenance or expansion of oil and gas production/imports, of a nuclear program, of weapons capability, or in dual usage goods or in equipment that may be used in those sectors for Sudan?</p>	<p>If YES, please detail specific types of investments, transactions or activities and % of total revenues of your company accounted for by these transactions, investments, or activities</p> <p>If YES, please detail specific types of transactions, investments, activities or planned activities and % of total revenues and/or assets of your group as a whole and the relevant entity individually accounted for by these transaction, investments, or activities.</p> <p>If YES, please detail specific types of transactions, investments or activities and % of total revenues and/or assets that these transactions, investments, or activities represent for your company or company group or the relevant entity.</p>

SYRIA –	
<p>Does your company have</p> <ul style="list-style-type: none"> • any offices, transactions, investments, activities or planned activities in Syria, or • any Dealings with (or in) any individual or entity located, resident, or organized in Syria or with (or in) any entity directly or indirectly owned or controlled by any entity located, resident, or organized in Syria (in each case, including with intermediaries acting on behalf of or engaged in Dealings with Syria or Syrian entities? <p>Does any member of your company's group of entities (any entity directly or indirectly controlled by, or under common control with your company ("Controlled Perimeter")</p> <ul style="list-style-type: none"> • reside in or have offices, transactions, investments, activities or planned activities in Syria, or • have any Dealings with (or in) any individual or entity located, resident, or organized in Syria or with (or in) any entity directly or indirectly owned or controlled by any entity located, resident, or organized in Syria (in each case, including with intermediaries acting on behalf of or engaging in related Dealings with Syria or Syrian entities? <p>Is your company or any member of your company's Controlled Perimeter involved in the maintenance or expansion of oil and gas production/imports, of a nuclear program, of weapons capability, or in dual usage goods or in equipment that may be used in those sectors for Syria?</p>	<p>If YES, please detail specific types of transactions, investments, activities or planned activities and % of total revenues and/or assets of your company accounted for by these transactions, investments, or activities.</p> <p>If YES, please detail specific types of transactions, investments, activities or planned activities and % of total revenues and/or assets of your group as a whole and the relevant entity individually accounted for by these transactions, investments, or activities.</p> <p>If YES, please detail specific types of transactions, investments or activities and % of total revenues and/or assets that these transactions, investments or activities represent for your company or company group or the relevant entity.</p>

I am hereby notified that, solely as a matter of internal Group policy, no transactions will be executed for, on behalf of, or for the benefit of, certain persons and jurisdictions considered high-risk by the Group, including countries that are subject to territorial sanctions. In addition, I confirm receipt of this information by signing below.



For use if completed by Company:

Company hereby represents that the statements above are accurate and complete.

Company Name:

Signature:

Company Address:

Company Group Name:
(if applicable)

Completed By*:
(name/title)

Date:

*This questionnaire must be completed by a Senior Representative(s) of the Client who is/are in a position to respond on behalf of the Company and Company's Controlled Perimeter. Examples included but are not limited to: CEO, CFO, COO, President, Treasurer, Legal or Compliance Representative, or other authorized representative.